

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

IN RE: UBER TECHNOLOGIES, INC.
PASSENGER SEXUAL ASSAULT
LITIGATION

MDL No. 3084 CRB

~~PROPOSED~~ SECOND AMENDED
PRETRIAL ORDER NO. 12: COMMON
BENEFIT ORDER—TIMEKEEPING AND
EXPENSES PROTOCOL

This Document Relates to:
ALL ACTIONS

This Order amends Pretrial Order No. 12(a), the First Amended Common Benefit Order—Timekeeping and Expenses Protocol to correct numerical errors in the time report task codes and to add vendor-specific information.

I. APPLICATION AND SCOPE OF THE ORDER

On December 6, 2023, the Court appointed Plaintiffs’ Co-Lead Counsel, Plaintiffs’ Liaison Counsel, and the Plaintiffs’ Steering Committee. ECF 152. In that Order, the Court indicated it would issue a subsequent order regarding the guidelines and rules for work done and expense incurred for the common benefit of all Plaintiffs in this MDL. As anticipated by that Order, this Order is entered to provide standards and procedures for the fair and equitable sharing among Plaintiffs, and their counsel, of the burden of services performed and expenses incurred by attorneys acting for the common benefit of all Plaintiffs in this complex litigation. At the appropriate time, and by separate order, the Court anticipates establishing a mechanism for creating a Common Benefit Fund (CBF) and determining an appropriate holdback amount for

1 contribution to that fund. Failure to follow the guidelines and procedures of this Order will mean
 2 that time and expenses incurred by counsel will not be reimbursable from the CBF or from any
 3 settlement fund approved by the Court.

4 **II. CASE MANAGEMENT PROTOCOLS FOR COMMON BENEFIT WORK**

5 The Court hereby adopts the following protocol, which shall govern all common benefit
 6 work and expenses in this action, including, among other issues: the exercise of billing judgment;
 7 the maintenance of contemporaneous, detailed time records; the periodic reporting of fees,
 8 expenses, and/or costs; staffing; and rules for attendance at court hearings. The recovery of any
 9 common benefit attorneys' fees and cost reimbursements will be limited to "Participating
 10 Counsel." "Participating Counsel" shall be defined as Co-Lead Counsel, the Plaintiffs' Steering
 11 Committee (PSC) or other counsel authorized in writing (via email or letter) by Co-Lead Counsel
 12 to perform Common Benefit Work (along with attorneys and staff of their respective firms).

13 Participating Counsel shall be eligible to receive common benefit attorneys' fees and
 14 reimbursement of costs and expenses only if the time expended, costs incurred, and activity in
 15 question were: (a) for the common benefit of Plaintiffs; (b) timely submitted; (c) not duplicative;
 16 and (d) reasonable in the determination of Co-Lead Counsel, any appointed CPA or other third
 17 party, and the Court or its designee. The Court may appoint a fee committee per subsequent order.
 18 Costs or expenses that fall within the limitations set forth herein shall not be deemed
 19 presumptively reasonable, and the Court retains its discretion to evaluate any costs or expenses
 20 submitted by counsel for reasonableness.

21 Any counsel intending to seek payment of common benefit attorneys' fees and
 22 reimbursement of common benefit costs and expenses agree to the terms and conditions herein,
 23 including submitting to this Court's jurisdiction and agreeing that this Court has plenary authority
 24 regarding the award and allocation of common benefit attorneys' fees and expense
 25 reimbursements in this matter.

26 Any counsel seeking reimbursement for fees and expenses for work in this action will
 27 submit to Co-Lead Counsel monthly common benefit time and expense submissions. As set forth
 28

in further detail below, such submissions shall be made through the company Rubris via their software platform, Rubris Crosslink. Co-Lead Counsel has retained and the Court approves the retention of Rubris to assist and provide accounting services to Co-Lead Counsel and the Court. Rubris may assist in storing and monitoring common benefit time and expense submissions and provide analytics of the time and cost submissions. Rubris will also assist in compiling reports of common benefit time and expense submissions that will be provided to Co-Lead Counsel who shall submit them to the Court on a quarterly basis. These reports will include both time and expenses and will summarize the submissions of all firms. Submissions of time and expense records to Rubris and the Court shall be considered as if submitted under seal. On a monthly basis, Rubris shall submit to Co-Lead Counsel detailed bills for all of its services in connection with this litigation. These bills shall be considered a shared cost.

A. Compensable Common Benefit Work

“Common Benefit Work” and “Common Benefit Expenses” include all work done and expenses incurred that inure to the common benefit of Plaintiffs in this MDL.

Examples of compensable and non-compensable work include, but are not limited to:

1. **Consolidated Pleadings and Briefs:** When authorized by Co-Lead Counsel, (i) factual and legal research and preparation of consolidated complaints, if any, and related briefing; (ii) comments and suggestions regarding any consolidated complaints; and (iii) other briefing or presentation of argument before the Court regarding common legal issues (such as discovery disputes).

2. **Bellwethers:** When authorized by Co-Lead Counsel: (i) communication with clients for the purposes of identifying suitable bellwether candidates; (ii) factual and legal research necessary to select the appropriate bellwethers; and (iii) prosecution of the selected bellwether cases.

3. **Discovery and Document Review:** Only discovery and document review authorized by Co-Lead Counsel and assigned to an attorney or law firm will be considered Common Benefit Work. If a firm or attorney elects to review documents that have not been assigned to them by Co-Lead Counsel, that review may not be considered Common Benefit

1 Work. Descriptions associated with document review should contain sufficient detail to allow
 2 those reviewing the time entry to generally ascertain what was reviewed. For example, indicating
 3 the custodian, search query, or number or type of documents reviewed is the kind of description
 4 needed.

5 4. **Depositions:** Co-Lead Counsel shall exercise discretion, judgment, and prudence
 6 to limit the number of attorneys authorized to participate in any given deposition to be
 7 commensurate with the nature of that deposition, so as to avoid over-staffing and inefficiencies.
 8 Time and expenses for Participating Counsel not designated as one of the attorneys authorized to
 9 prepare for a deposition, ask questions, defend a deposition, or otherwise attend the deposition by
 10 Co-Lead Counsel may not be considered Common Benefit Work but, rather, considered as
 11 attending on behalf of such counsel's individual clients. Unnecessary attendance by counsel may
 12 not be compensated in any fee application to the Court.

13 5. **Discovery Responses:** Only those attorneys designated by Co-Lead Counsel or
 14 their designees to review and summarize discovery requests served on Plaintiffs and prepare
 15 responses are working for the common benefit and will have their time be considered Common
 16 Benefit Work. Time spent reviewing discovery requests and preparing responses for the benefit of
 17 counsel's own clients is not considered Common Benefit Work, unless it is at Co-Lead Counsel's
 18 direction and for a bellwether case after the case is selected as a bellwether.

19 6. **Periodic MDL Status Conferences:** Only counsel who will address the Court, or
 20 who have been asked to attend status conferences by the Court, Co-Lead Counsel, or PSC
 21 members, may record that time and related expenses as Common Benefit Work and Common
 22 Benefit Expenses. All attorneys have an obligation to keep themselves informed about the
 23 litigation so that they can best represent their respective clients, and are free to attend or listen to
 24 periodic status conferences. Mere telephonic or in-person attendance at a status conference,
 25 however, will not be considered compensable Common Benefit Work, and expenses incurred in
 26 relation thereto will not be considered Common Benefit Expenses.

27 7. **Periodic MDL Conference Calls:** These calls are held so that individual
 28 attorneys may keep up-to-date on the status of the litigation; participation by listening to such

calls is not Common Benefit Work. The attorneys designated by Co-Lead Counsel to run those calls are working for the common benefit by keeping other lawyers informed and educated about the case; their time will be considered Common Benefit Work.

8. **Leadership Meetings or Calls:** PSC members may submit common benefit time for participation in leadership communications and meetings that are germane to all members of the PSC and necessary to fulfill their Court-appointed obligations. During leadership phone calls or other meetings, there is a presumption that only one participant per firm will qualify for common benefit time, unless otherwise authorized by Co-Lead Counsel.

9. **Expert-Related Work:** If Participating Counsel retains an expert without the knowledge and approval of Co-Lead Counsel and the expert committee, time and expenses attributable to that expert may not be approved as Common Benefit Work. Work with experts retained for the common benefit of Plaintiffs, on the other hand, shall be considered Common Benefit Work if performed with the authorization of Co-Lead Counsel.

10. **Attendance at Seminars:** Except as approved by Co-Lead Counsel, attendance at seminars (e.g., American Association for Justice Section Meetings, Mass Torts Made Perfect, HarrisMartin, and similar seminars and Continuing Legal Education programs) shall not qualify as Common Benefit Work, or the expenses pertaining thereto as Common Benefit Expenses.

11. **Review of Court Filings and Orders:** Only PSC members and those attorneys working on assignments authorized by Co-Lead Counsel that require them to review, analyze, or summarize particular filings or orders in connection with their assignments are doing so for the common benefit. All other counsel are reviewing those filings and orders for their own benefit and that of their respective clients; such review will not be considered Common Benefit Work.

12. **Emails and Correspondence:** Except for the PSC members and their authorized attorneys and staff, time recorded for reviewing emails and other correspondence is not compensable Common Benefit Work unless germane to an assignment authorized by Co-Lead Counsel and performed by the attorney or party directly related to that assignment. For example, review of an email or other correspondence sent to dozens of attorneys to keep them informed on

a matter on which they are not specifically working would not be compensable as Common Benefit Work.

13. **Other Non-Compensable Work:** The following types of work will not be compensated: time not authorized by Co-Lead Counsel; unreasonably duplicative time; excessive amounts of time spent on a particular task; work performed by a person more senior than reasonably necessary for the task (which may not be compensated or may be compensated at a reduced rate); time spent on internal firm management; and time spent preparing and reviewing individual firm time and expense submissions or responding to questions concerning individual firm time and expenses submissions. While all attorneys have an obligation to stay informed about the litigation so that they can best represent their respective clients, review of emails and court filings, attendance at status conferences, participation in conference calls, and similar activities will not be considered Common Benefit Work.

B. Common Benefit Timekeeping Protocols

1. Recording Requirements

All time must be accurately and contemporaneously maintained. Any counsel intending to seek payment of common benefit attorneys' fees and reimbursement of common benefit costs and expenses shall keep contemporaneous billing records of time spent in connection with Common Benefit Work in this MDL, indicating with specificity the hours (in tenth-of-an-hour increments) and billing rate, along with a description of the particular activity (e.g., "conducted deposition of John Doe").

Descriptions must bear sufficient detail to identify the precise task and how it relates to Common Benefit Work. Individuals identified in time descriptions must be described by at least their first initial and last name, not by initials. "John Doe" is preferred; "J. Doe" is acceptable; and "JD" is unacceptable.

Each time entry must be categorized using one of the categories in **Exhibit A**. In general, when possible, a more specific category should be used in place of a more general category. Under no circumstances should a submitting firm make up new categories for use in its submission. Co-Lead Counsel will provide further guidance through memorandum to counsel as

1 needed.

2 While the time entry categories are generally self-explanatory, below are further
3 explanations for some of the categories that have the potential for confusion:

4 **a. Lead Counsel/PSC Duties (3)** – This category code should only be
5 used for work done by Co-Lead Counsel, PSC members, other Court-appointed counsel, and their
6 assigned attorneys and staff, in their capacity as PSC members. This category should be used
7 primarily for PSC members’ more general or administrative responsibilities that do not fit into
8 other, more specific categories. These include, but are not limited to, reviewing, analyzing, and
9 summarizing filings and orders as appropriate based on the work being conducted by counsel, or
10 coordinating and designating non-Court-appointed attorneys to conduct common benefit tasks
11 such as document reviews, depositions, or work with experts. This category should not be used by
12 any timekeeper who is not Court-appointed counsel or one of their assigned attorneys or staff.

13 **b. Document Review (8)** – For the purposes of this category, the
14 word “document” specifically means documents or other information produced in discovery (or,
15 for a producing party, that are being reviewed for possible production). In other words, this
16 category is not to be used for every instance of reading a document—it is more specific. Time
17 entry descriptions for document review tasks should include specific details such as custodians,
18 search query, number of document folders reviewed, or other similar details. Task Code 8 should
19 not be used for defensive document review; that work is captured by Task Code 7.

20 **c. Trial (17)** – This category is reserved solely for tasks performed
21 during a trial.

22 **d. Miscellaneous (20)** – This is a general category that should not be
23 used if a more specific category can be used instead. Any activities done in connection with or as
24 part of a larger task like a brief, or a court appearance, or a meeting, should be categorized
25 according to that larger task. This category should be used relatively infrequently. However,
26 when it is used, it is critical that the description of the task be sufficiently detailed to make clear
27 how the work was Common Benefit Work.

1 **2. Hourly Rates**

2 Counsel shall record their then-present hourly rates for all attorneys and staff. Counsel
3 shall not bill a rate other than their standard rates at the time the work is performed. Use of these
4 rates does not guarantee their payment.

5 **3. Document Analysis**

6 Co-Lead Counsel has chosen a document vendor. A document analysis system will be
7 used to avoid unnecessary travel expenses and procedures will be put in place to monitor how
8 much time is spent analyzing documents and the efficiency and quality of analysis by other firms.
9 Co-Lead Counsel will establish further parameters regarding the use and compensation of
10 attorneys for document review and other tasks, to be addressed in a subsequent order.

11 **C. Common Benefit Expenses Protocol**

12 **1. Shared Costs**

13 “Shared Costs” are costs that will be paid out of the Litigation Fund administered by Co-
14 Lead Counsel. Participating Counsel shall contribute to the Litigation Fund at times and in
15 amounts sufficient to cover Plaintiffs’ Shared Costs. The timing and amount of each assessment
16 will be determined by Co-Lead Counsel, and each assessment will be paid within 14 days as
17 instructed by Co-Lead Counsel. Failure to pay assessments will be grounds for removal from the
18 appointments made in previous Court orders or other common benefit assignments.

19 Shared Costs are costs incurred for the common benefit of Plaintiffs in this MDL as a
20 whole. No client-related costs, save certain costs relating to cases selected as bellwether cases that
21 will be for the common benefit (e.g., related to liability and causation), shall be considered Shared
22 Costs, unless exceptional circumstances exist and are approved by later order of this Court. All
23 Shared Costs must be approved by Co-Lead Counsel prior the cost being incurred. All costs that
24 meet these requirements and fall under the following categories shall be considered Shared Costs
25 and qualify for submission and payment directly from the Fund:

- 26 **a.** Court, filing, and service costs related to common issues;
- 27 **b.** Costs for transcripts of court hearings;
- 28 **c.** Court reporter and interpreter costs for depositions;

1 **d.** Document (both electronic and hard copy) depository creation,
2 operation, staffing, equipment, and administration;

3 **e.** Common benefit administrative expenses (e.g., expenses for
4 courtroom equipment or technology, service costs for court filings and discovery documents,
5 costs related to hosting Co-Lead Counsel and leadership meetings and conference calls);

6 **f.** Legal, tax, accountant, or financial institution fees relating to the
7 Fund;

8 **g.** Expert witness and consultant fees and expenses for experts
9 approved by Co-Lead Counsel whose opinions and testimony would be for the common benefit;

10 **h.** Printing, copying, coding, and scanning related to the above (only
11 out-of-house or extraordinary firm costs);

12 **i.** Research by outside third-party vendors, consultants, and attorneys,
13 approved by Co-Lead Counsel;

14 **j.** Translation costs approved by Co-Lead Counsel;

15 **k.** Investigative services approved by Co-Lead Counsel;

16 **l.** Reimbursements of assessments paid by Co-Lead Counsel or by a
17 non-Lead Counsel firm from whom an assessment was requested by Co-Lead Counsel in the
18 event no further litigation-related payments will be needed from the Fund.

19 Co-Lead Counsel shall prepare and be responsible for distributing reimbursement
20 procedures and the forms associated therewith. Requests for payments from the Fund for common
21 benefit expenses shall include sufficient information to permit Co-Lead Counsel and, as
22 appropriate, a Certified Public Accountant to account properly for costs and to provide adequate
23 detail to the Court if necessary.

24 **2. Held Costs**

25 **a.** “Held Costs” are those that will be carried by each attorney in this
26 MDL and reimbursed as and when Co-Lead Counsel determines. Held Costs are those that do not
27 fall into the above Shared Costs categories, but are incurred for the common benefit of all
28 Plaintiffs in this MDL. No client-specific costs can be considered Held Costs, other than certain

common benefit costs relating to future bellwether cases at the discretion of Co-Lead Counsel. Held Costs shall be recorded in accordance with the guidelines set forth herein and shall be subject to the travel and administrative limitations set forth in this Order.

3. Travel Limitations

a. Only reasonable expenses will be reimbursed. Except in unusual circumstances approved by Co-Lead Counsel, all travel reimbursements are subject to the following limitations:

b. Airfare: For routine domestic flights, only the price of a refundable, changeable, and convenient coach fare seat or its equivalent will be reimbursed. For international travel or transcontinental flights with a total duration exceeding five and a half hours, business class, or if business class is not available, first class may be reimbursed at Co-Lead Counsel's discretion. Private or charter travel will not be reimbursed except in unusual circumstances, as approved by Co-Lead Counsel.

c. Hotels: Reasonable hotel room charges for the average available room rate of a reasonable business hotel will be reimbursed. Unusually high hotel charges may be reviewed by Co-Lead Counsel and disallowed.

d. Meals: Meal expenses must be reasonable. Unusually large meal expenses may be reviewed by Co-Lead Counsel and disallowed.

e. Cash Expenses: Miscellaneous cash expenses for which receipts generally are not available (e.g., tips, luggage handling) will be reimbursed up to \$50.00 per trip, if the expenses are properly itemized.

f. Automobile Rentals: Automobile rentals must be reasonable for the date and location of the rental. Unusually high car rental charges may be reviewed by Co-Lead Counsel and disallowed.

g. Mileage: Mileage claims must be documented by stating origination point, destination, and total actual miles for each trip. The rate will be the maximum rate allowed by the Internal Revenue Service.

1 **4. Non-Travel Limitations**

2 **a. Long Distance, Conference Call, and Cellular Telephone**

3 **Charges:** Common benefit long distance, conference call, and cellular telephone charges are to
4 be reported at actual cost.

5 **b. Shipping, Overnight, Courier, and Delivery Charges:** All

6 claimed common benefit shipping, overnight, courier, or delivery expenses must be documented
7 with bills showing the sender, origin of the package, recipient, and destination of the package.
8 Such charges are to be reported at actual cost.

9 **c. Postage Charges:** Common benefit postage charges are to be

10 reported at actual cost.

11 **d. Telefax Charges:** Common benefit fax charges shall not exceed

12 \$0.25 per page.

13 **e. In-House Photocopy:** The maximum charge for common benefit

14 in-house copies is \$0.15 per page.

15 **f. Computerized Research – Lexis, Westlaw, or Bloomberg:**

16 Claims for Lexis, Westlaw, Bloomberg, or other computerized legal research expenses should be
17 in the actual amount charged to the firm and appropriately allocated for these research services.

18 **5. Expense Reporting Protocol**

19 No entry should contain more than one category of expense when practical, and no entry
20 should have more than one expense category code assigned to it. If, on the same day, one person
21 incurs two expenses that fall into two different categories, there should be two separate entries for
22 that person for that date, each with the appropriate expense description and category code.
23 Similarly, when practical, no listed expense entry should include expenses incurred by more than
24 one person. If multiple people incur the same expense for the same category, generally there
25 should be a separate entry for each person, unless a single person paid the expense for multiple
26 people.

27 Every expense entry should be as detailed and specific as reasonably practical.
28 Descriptions such as “Filing and Service Fees,” “Service of Process,” “Plane Ticket,”

“Investigation Fees,” “Hearing Transcript,” and “Deposition Services” are not sufficient. Every entry must describe the task for which the expense was incurred in enough detail to reasonably identify what the expense was, who incurred it, why it was incurred, and how it related to Common Benefit Work. For example: What was filed and on behalf of whom? Who was served with what document and on behalf of whom? What hearing transcript was requested and for what purpose? For whom was the plane ticket purchased, for air travel from where to where, on what dates of travel? (The same goes for hotels, taxis, car services, tips, meals, and any other travel-related expenses.) Expense entries without sufficient detail may be rejected at Co-Lead Counsel’s discretion.

Attorneys shall provide receipts for all expenses. This does not mean that receipts are to be provided “upon request”—it means each firm must provide receipts monthly along with their expense submissions, in PDF form, not hard copy. Credit card receipts (not the monthly statements) are an appropriate form of verification. Hotel costs must be proven with the full hotel invoice. Description of expenses on an invoice not claimed in this action may be redacted. All time and expense submissions, including claimed billable hourly rates, shall be reviewed and certified by a partner or shareholder in the Common Benefit Counsel firm attesting to the accuracy of the submissions and attesting that Common Benefit Counsel believes in good faith that all claimed time and expenses are compensable under this Order.

D. Protocols for Submission of Time and Expenses

1. Format

For necessary scrutiny of submissions, all time and expense submissions must be provided by submitting counsel in the following format:

a. Counsel must use the forms provided in **Exhibit A** to this Order. This means that each monthly submission will consist of one expense submission file and one time submission file. The files will be uploaded to Rubris Crosslink through task submissions titled “Expense Report Upload ” and “Time Report Upload,” which will be available for each submission period.

b. For expense submissions, Counsel must provide supporting documentation which will be uploaded to Rubris Crosslink through the “Expense Report Upload” task. The name of the supporting documentation must be entered into the corresponding line item of the expense submission file in the last column.

c. In all reports, the date must be provided in month/day/year format (e.g., 12/28/19).

2. Deadlines

All time and expense submissions must be timely submitted by the last calendar business day of the month in accordance with the guidelines set forth herein, through Rubris Crosslink at the following URL: <https://crosslink.rubris.com>. To obtain access to Rubris Crosslink, please email CrosslinkSupport@rubris.com and provide the *law firm, name* and *email address* for each individual requiring access. At least one attorney must be specified as the account administrator for the law firm and should be identified in the request. Please use the following *Email subject*: Request for Uber Sexual Assault MDL CB T&E Platform.

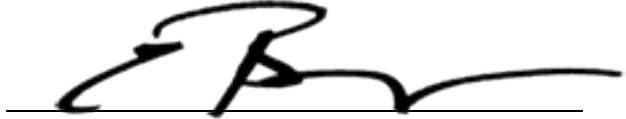
The first submission is due on June 20, 2024 and should include all time and expenses from inception of work on MDL-related litigation through May 31, 2024. Co-Lead Counsel will assess and determine whether pre-MDL formation work submitted warrants inclusion as common benefit work. After this first submission, each monthly submission will be due on the 20th of each month (or, if the 20th falls on a weekend or holiday, the next week day), and should include all common benefit time and expenses incurred from the first to the last day of the preceding month (e.g., a submission due January 20, 2024, shall contain all common benefit time and expenses incurred from December 1, 2023, through December 31, 2023).

Although counsel should endeavor to submit all common benefit expenses incurred in a certain month in the submission made on the last business day of the next month, the realities of third-party billing and credit card statement schedules may make such quick expense submission difficult. Firms may submit incurred expenses up to three months after they were incurred. Any expenses submitted more than three months after they were incurred must be accompanied by an explanation for the late submission. Plaintiffs’ Co-Lead Counsel have the discretion to reject late-

1 reported expenses.

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3 **IT IS SO ORDERED.**

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5 **DATED:** May 22, 2024

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7 Hon. Charles R. Breyer
8 United States District Court Judge
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